

Quinone-Based, Redox-Active Resorcin[4]arene CavitanDs**

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Since the preparation of the first quinoxaline-bridged resorcin[4]arene cavitant by Cram and co-workers in 1982,^[1] numerous derivatives with various structures and functions have been prepared and employed as switches,^[2] receptors and sensors,^[3] catalysts,^[4] and molecular hosts.^[5] The most fascinating feature of top-open resorcin[4]arene cavitanDs is their ability to adopt two spatially well-defined conformations: an expanded “kite” form and a contracted “vase” form. While various methods have been employed to study the conformational properties of cavitanDs,^[6] the most convenient one is ¹H NMR spectroscopy. Figure 1 illustrates the vase–kite1–kite2 equilibrium of a generic cavitant, and how three situations, vase, kite with slow kite1–kite2 interconversion, and kite with fast kite1–kite2 interconversion, can be distinguished by ¹H NMR spectroscopy.^[7]

The three stimuli that have been identified for switching the cavitant between its vase and kite forms are changes in temperature,^[1,8] pH,^[9] and metal-ion concentration.^[6a] Additionally, cavitanDs can function as molecular grippers by binding guest molecules in their vase conformations; these binding properties have been modulated using changes in pH,^[10] metal-ion complexation,^[11] and light.^[12] To use redox processes as new stimuli for tuning cavitant properties, however, is a highly desirable, yet unreach goal.^[2] Electrochemically induced redox switching, if truly reversible, and performed on the surface of a metal electrode, could enable the application of cavitanDs as molecular grippers.^[2,13] Towards this end, we chose to investigate cavitanDs containing the quinone moiety as a new and easily installed redox-active wall component^[8b] and to study how changing their redox states affects their conformational and binding properties.

It has been shown that cavitant wall size and solvent identity can influence the vase–kite equilibrium of resorci-

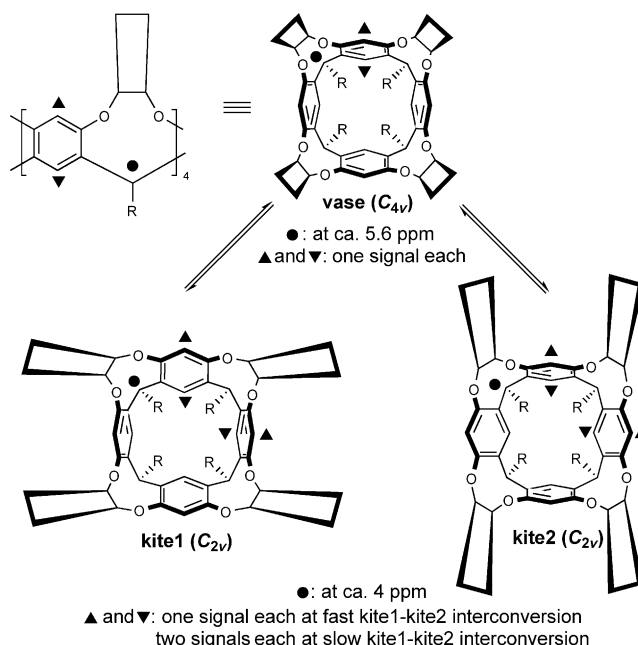


Figure 1. Vase–kite1–kite2 equilibrium of a generic cavitant and summary of characteristic ¹H NMR features of three situations: vase, kite with slow kite1–kite2 interconversion, and kite with fast kite1–kite2 interconversion.

n[4]arene cavitanDs:^[6e,7,14] the vase conformation is preferred more strongly in cavitanDs with larger walls and in solvents such as tetrahydrofuran, benzene, and toluene than in cavitanDs with smaller walls and in chlorinated solvents (CD₂Cl₂, CDCl₃, (CDCl₂)₂). We therefore prepared a series of quinoid cavitanDs with different wall sizes (ox-1a–c, Figure 2) and investigated their conformational preferences in one member of each solvent class (for the synthesis of all reported compounds, see the Supporting Information, Section 2).

The ¹H NMR spectra (298 K, 500 MHz) of cavitant ox-1b in CD₂Cl₂ and [D₈]THF are shown in Figure 2. In both solvents, the cavitant is present in the kite conformation: the methine protons (●) are located at 4.25 ppm in CD₂Cl₂ and at 4.43 ppm in [D₈]THF, respectively. The main difference between the two solvents, however, is that in CD₂Cl₂, two sharp signals are observed for each of the bowl protons (▲ and ▼), while in [D₈]THF, these signals are close to coalescence. This indicates that the kite1–kite2 interconversion is faster in [D₈]THF than in CD₂Cl₂. Thus, [D₈]THF not only stabilizes the vase conformation more strongly but also the vase-like transition state^[7] for the kite1–kite2 interconversion. The preference of cavitant ox-1b for the kite conformation was

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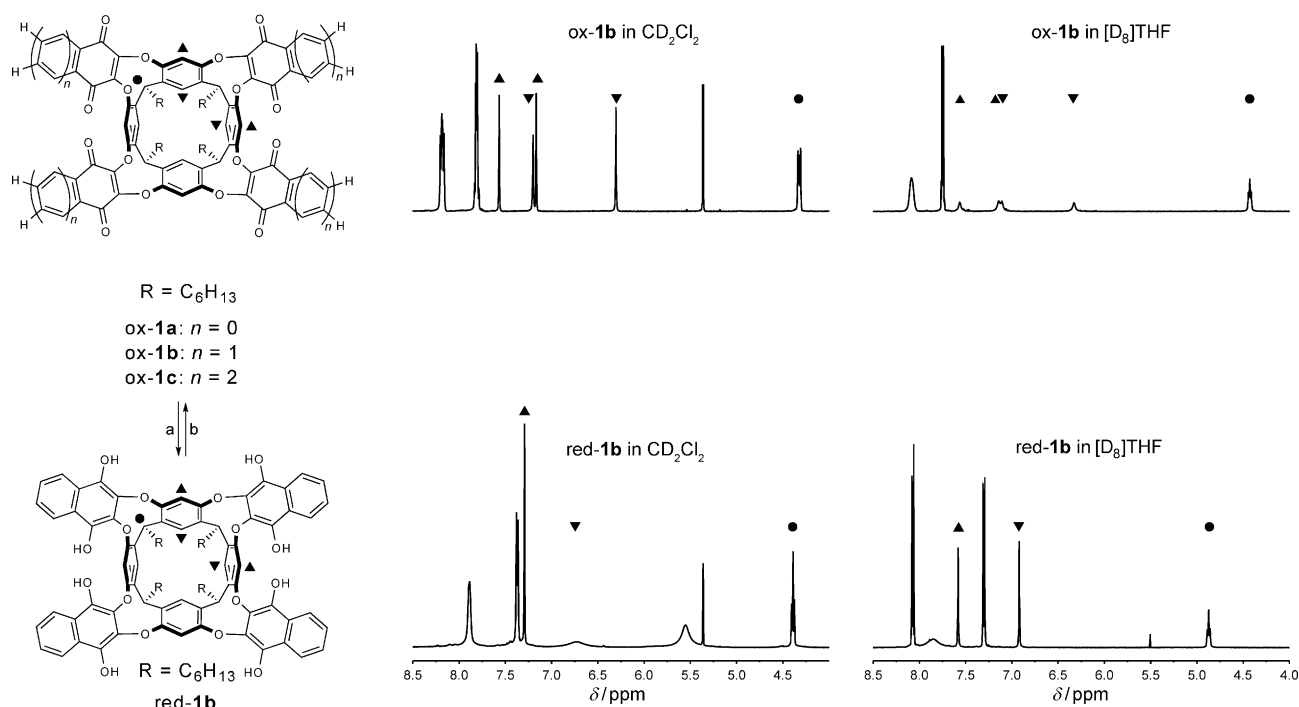


Figure 2. Left: Cavitands **ox-1a-c** and redox interconversion between the quinone and hydroquinone states of cavitand **1b**. a) $\text{Na}_2\text{S}_2\text{O}_4$, $\text{CDCl}_3/\text{H}_2\text{O}$ (degassed), 60°C , 3 h, quantitative. b) Air, quantitative. Sections of the ^1H NMR spectra (298 K, 500 MHz) of cavitands **ox-1b** and **red-1b** in CD_2Cl_2 (middle) and $[\text{D}_8]\text{THF}$ (right).

further supported by its X-ray crystal structure (crystals from acetone), which shows the cavitand in the kite form (Figure 3, upper left; for details on X-ray analyses, see Section 4 in the Supporting Information).

The activation parameters for the kite1–kite2 interconversion of **ox-1a-c** in CD_2Cl_2 and $[\text{D}_8]\text{THF}$ are presented in Table 1.^[15] These data show that in general, increasing wall size (e.g. **ox-1a** to **ox-1c**) leads to a slight decrease in $\Delta G^\ddagger_{298\text{K}}$,

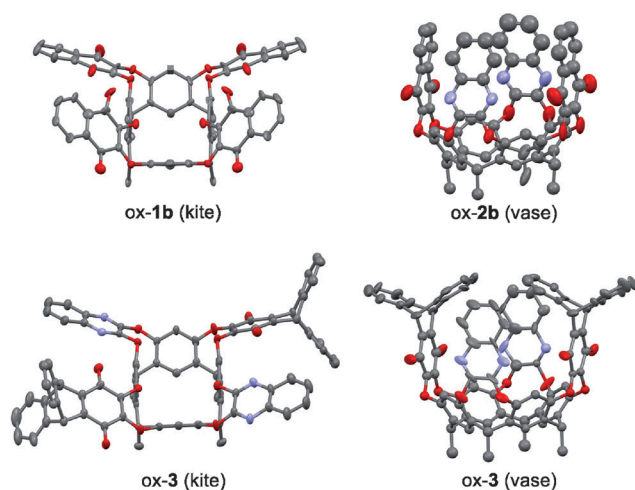


Figure 3. Crystal structures of cavitands **ox-1b** (top left, from acetone), **ox-2b** (top right, from DMF), **ox-3** (bottom left, from $[\text{D}_{12}]\text{mesitylene}$), and **ox-3** (bottom right, from DMF) at 100 K. Solvent molecules, n -hexyl chains, and hydrogen atoms are omitted for clarity. Thermal ellipsoids are shown at the 50% probability level.

which correlates with an increased ^1H NMR chemical shift of the methine protons. However, the influence of wall size on $\Delta G^\ddagger_{298\text{K}}$ is small compared to the influence of the solvent: $\Delta G^\ddagger_{298\text{K}}$ in $[\text{D}_8]\text{THF}$ is, on average, about 0.8 kcal mol^{-1} lower than in CD_2Cl_2 for each cavitand.

For chemical redox-switching studies on the tetraquinone-cavitand class, we selected the medium-sized cavitand **ox-1b**, which was chemically reduced to the corresponding hydroquinone form, **red-1b**, with $\text{Na}_2\text{S}_2\text{O}_4$ (Figure 2). Cavitand **red-1b** cleanly reverts to **ox-1b** upon exposure to air. This redox reversibility was confirmed by cyclic voltammetry (CV) and rotating-disk voltammetry (RDV).^[16] The ^1H NMR spectra (298 K, 500 MHz) of cavitand **red-1b** in CD_2Cl_2 and $[\text{D}_8]\text{THF}$ shown in Figure 2 indicate the presence of the kite form in both solvents.^[17] However, the methine proton signals in **red-1b** are shifted downfield compared to the methine protons of **ox-1b**, and the aromatic protons (▲ and ▼) exhibit only one signal each. Thus, in both solvents, the vase-like transition state for the kite1–kite2 interconversion is stabilized upon reduction of the cavitand from the quinone to the hydroquinone state. However, this stabilization is not sufficient to fully switch the cavitand into the vase form, the prevalence of which is a prerequisite for binding.

We presumed that in **ox-1a-c**, $\text{C}=\text{O}\cdots\text{C}=\text{O}$ repulsions between neighboring walls prohibit access to the vase conformation. In order to reduce these repulsive interactions, we prepared the diquinone-cavitand series **ox-2a-c** (Figure 4). A minor influence of wall size on cavitand conformation was also observed for this series. Figure 4 shows ^1H NMR spectra (298 K, 300 MHz) of cavitand **ox-2b** in CD_2Cl_2 and $[\text{D}_8]\text{THF}$. In CD_2Cl_2 , **ox-2b** is present in the

Table 1: Kinetic parameters ($\Delta H^\ddagger$, $\Delta S^\ddagger$, and $\Delta G^\ddagger_{298\text{ K}}$) for the kite1–kite2 interconversion, thermodynamic quantities (ΔH , ΔS , and $\Delta G_{298\text{ K}}$) for the vase→kite equilibrium, and chemical shifts $\delta_{298\text{ K}}$ (●) of the methine protons of cavitands ox-1a–c, red-1b, ox-2b, and red-2b, in various solvents.^[a]

Cavitand	Solvent	Process	$\Delta H^\ddagger$ [kcal mol ^{−1}]	$\Delta S^\ddagger$ [cal mol ^{−1} K ^{−1}]	$\Delta G^\ddagger_{298\text{ K}}$ [kcal mol ^{−1}]	ΔH [kcal mol ^{−1}]	ΔS [cal mol ^{−1} K ^{−1}]	$\Delta G_{298\text{ K}}$ [kcal mol ^{−1}]	$\delta_{298\text{ K}}$ (●)[ppm]
ox-1a	(CDCl ₂) ₂	kite1→kite2	15.8±0.3	−2.5±1.1	16.6±0.5	—	—	—	4.15
ox-1b	(CDCl ₂) ₂	kite1→kite2	17.2±0.3	4.2±1.2	16.0±0.5	—	—	—	4.25
ox-1c	(CDCl ₂) ₂	kite1→kite2	16.6±0.4	2.0±1.3	16.0±0.5	—	—	—	4.30
ox-1a	[D ₈]THF	kite1→kite2	13.7±0.4	−6.4±1.5	15.7±0.6	—	—	—	4.35
ox-1b	[D ₈]THF	kite1→kite2	14.4±0.2	−2.9±0.8	15.3±0.3	—	—	—	4.43
ox-1c	[D ₈]THF	kite1→kite2	n.d.	n.d.	n.d.	—	—	—	4.51
red-1b	(CDCl ₂) ₂	kite1→kite2	n.b.	n.d.	n.d.	—	—	—	4.39
red-1b	[D ₈]THF	kite1→kite2	7.7±0.4 ^[b]	−8.4±1.6 ^[b]	10.2±0.6 ^[b]	—	—	—	4.87
ox-2b	CD ₂ Cl ₂	kite1→kite2	15.6±0.2	9.5±0.6	12.8±0.3	—	—	—	4.36, 3.74
ox-2b	[D ₈]THF	vase→kite	—	—	—	−3.0±0.1	−16.1±0.5	1.8±0.2	5.59 br
red-2b	CD ₂ Cl ₂	kite1→kite2	n.d.	n.d.	n.d.	—	—	—	4.48, 3.53
red-2b	[D ₈]THF	vase→kite	—	—	—	−0.7±0.1	−6.8±0.6	1.3±0.2	5.79, 5.62

[a] $\Delta H^\ddagger$, $\Delta S^\ddagger$, and $\Delta G^\ddagger_{298\text{ K}}$ determined using inversion-transfer NMR experiments at various temperatures and subsequent Eyring analysis, unless otherwise stated. ΔH , ΔS , and $\Delta G_{298\text{ K}}$ determined by integration of distinct ¹H NMR signals corresponding to the vase and kite conformations at low temperatures and subsequent van't Hoff analysis. Errors are given at the 95% confidence level. n.d. = not determined because of poor solubility. [b] Determined using iterative line-shape fitting of variable-temperature ¹H NMR spectra and subsequent Eyring analysis.

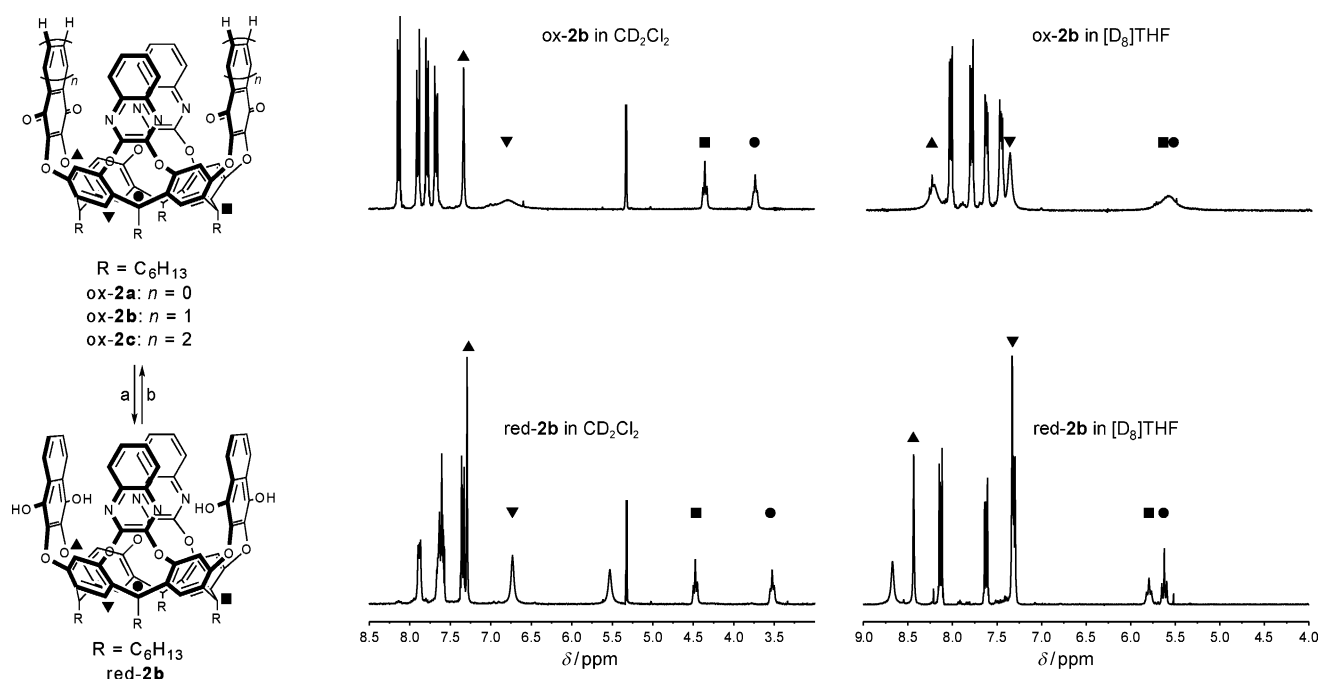


Figure 4. Left: Cavtands ox-2a–c and redox interconversion between the quinone and hydroquinone states of cavtand 2b. a) Na₂S₂O₄, CDCl₃/H₂O (degassed), 60 °C, 3 h, quantitative. b) Air, quantitative. Sections of the ¹H NMR spectra (298 K, 300 MHz) of cavtands ox-2b and red-2b in CD₂Cl₂ (middle) and [D₈]THF (right).

kite conformation,^[18] though the activation barrier for the kite1–kite2 interconversion is significantly lower (12.8 kcal mol^{−1}) than that of ox-1b (16.0 kcal mol^{−1}). In contrast, [D₈]THF provides the requisite stabilization for ox-2b to access the vase conformation: the methine protons appear at 5.59 ppm as a broad signal. X-ray crystallographic studies further support the higher intrinsic preference of cavtand ox-2b for the vase conformation; it crystallized in the vase form from DMF (Figure 3, upper right).^[19] ¹H NMR spectra (298 K, 300 MHz) of red-2b, the reduced form of ox-2b, in CD₂Cl₂ and [D₈]THF are shown in Figure 4. The conforma-

tional preferences do not significantly change: as with ox-2b, red-2b is present in the kite form in CD₂Cl₂ and in the vase form in [D₈]THF.

Having investigated the conformational and electrochemical properties of the new cavtands, we turned our attention to binding studies. These were performed in [D₁₂]mesitylene, which is too big to be able to compete with guests for the binding site. Similar to other open-top cavtands, however, binding of various aromatic and alicyclic molecules was weak ($K_a < 2$), with fast guest exchange on the NMR timescale.^[20] We attributed this to the open-top structure of the cavi-

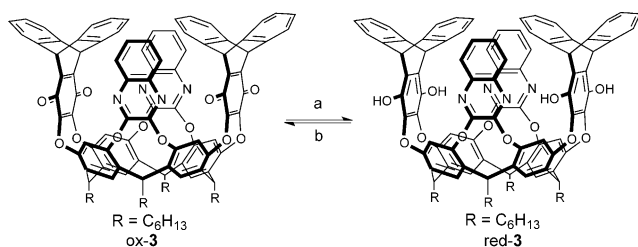


Figure 5. Redox interconversion between the quinone and hydroquinone states of cavitand **3**. a) H_2 , Pd/C, THF (degassed), 25 °C, 30 min, quantitative. b) Air, quantitative.

tands^[6e,10] and to their structural flexibility.^[21] To address the first issue, we prepared the triptycene-derived diquinone cavitand **ox-3** and its reduced form, **red-3** (Figure 5).

^1H NMR studies showed that the conformational properties of cavitands **ox-3** and **red-3** are very similar to those of cavitands **ox-2a-c** and **red-2a-c**. However, **ox-3** and **red-3** form kinetically stable host–guest complexes on the NMR timescale with various cycloalkanes, with the highest binding constants measured for cyclohexane (Table 2). In pure

Table 2: Association constants K_a between cavitands **ox-3** and **red-3**, and various cycloalkanes ($[\text{D}_{12}]$ mesitylene, 298 K).^[a,b]

Guest	$K_a(\text{ox-3} \cdots \text{guest}) [\text{M}^{-1}]$	$K_a(\text{red-3} \cdots \text{guest}) [\text{M}^{-1}]$
cyclopentane	3.2	< 0.1
cyclohexane	19.2	3.1
cycloheptane	6.3	1.6

[a] Determined by integration of the ^1H NMR resonances of the relevant species, relative to 1,3,5-trimethoxybenzene as an internal standard.

[b] Error in K_a is estimated to be roughly 20%.

$[\text{D}_{12}]$ mesitylene, **ox-3** and **red-3** are present in the kite conformation (the methine protons appear at 3.9 and 4.1 ppm (**ox-3**) and at 3.9 and 4.5 ppm (**red-3**); see Section 6 in the Supporting Information). Upon addition of excess cyclohexane, guest-induced switching to the vase conformation occurs in both **ox-3** and **red-3** (the methine protons shift to 5.8 and 5.9 ppm (**ox-3** complex) and to 5.9 and 6.0 ppm (**red-3** complex)), and encapsulated cyclohexane is observed (−2.9 ppm (**ox-3** complex) and −3.2 ppm (**red-3** complex)). Importantly, the K_a values of complexes of cavitand **ox-3** are higher than those of **red-3** (Table 2). This is possibly because $\Delta G_{298\text{K}}$ for the kite→vase switching of **ox-3** is smaller than that of **red-3**, in analogy to the difference in the kite→vase switching processes of the **ox-2b/red-2b** pair (Table 1). Complete guest release was showcased for **ox-3**: protonation of the quinoxaline nitrogens using trifluoroacetic acid (TFA) switched the cavitand back to the kite conformation, thereby releasing cyclohexane.

X-ray crystal structures showing cavitand **ox-3** in both conformations, vase and kite, were obtained (Figure 3): **ox-3** crystallized in the vase form from DMF and in the kite form from $[\text{D}_{12}]$ mesitylene, which also reflects the solution-state conformational preferences of **ox-3** in these solvents. **ox-3** is the first resorcin[4]arene-based cavitand that has been crystallized in both the vase and kite forms, highlighting the

fact that cavitands of this type have no inherent preference for one conformation over the other, such that mainly solvent influence determines the observed conformation.

In summary, we have prepared and studied novel, redox-active, quinone-based resorcin[4]arene cavitands. Cavitands with four quinone units exist only in the kite conformation, while cavitands containing two quinone and two quinoxaline units can access both the kite and the vase forms. Their actual, observed conformation is strongly solvent dependent. For the first time, X-ray structures of both the vase and kite forms of a resorcin[4]arene-based cavitand (**ox-3**) were obtained. The top-covered cavitands **ox/red-3** form kinetically stable host–guest complexes on the NMR timescale with various cycloalkanes. Guest release can be induced by protonation of the quinoxaline nitrogens. Notably, the binding strength can also be modulated by changing the redox state of the cavitand. Now that we have demonstrated the proof of principle for the redox switching of cavitand properties, developing cavitands in which the redox-switching is even more pronounced would bring us closer to utilizing cavitands in advanced-materials applications.

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- [15] A detailed description about how activation parameters have been determined is given in the the Supporting Information (Section 5).
- [16] Detailed information on the CV and RDV data of all new compounds and substituted quinone and quinoxaline reference compounds are in the Supporting Information (Section 7).
- [17] A variable-temperature (VT) NMR scan in [D₈]THF showed that upon cooling, the methine protons of red-**1b** decoalesce and reappear as two broad signals at 5.9 ppm and 4.7 ppm below 210 K (see Section 5.6 in the Supporting Information). Such VT behavior has not been observed for resorcin[4]arene cavitands. Two different dynamic processes could account for the observed low-temperature spectrum: 1) a slow vase–kite interconversion; 2) a slow interconversion between structures in which two walls are in the kite position and two in the vase. VT NMR analysis of red-**1b** in CD₂Cl₂ was hampered by gelation at low temperatures.
- [18] Two sets of methine protons located at 3.74 ppm and 4.36 ppm are observed. In analogy to tetraquinone- and tetraquinoxaline-cavitands, the signal at 3.74 ppm can be assigned to the methine protons below the quinoxaline unit, while the signal at 4.36 ppm corresponds to methine protons below the quinone wall.
- [19] An X-ray structure (crystals obtained from toluene) also showing cavitand ox-**2b** in the vase conformation is in the Supporting Information (Section 4.4).
- [20] For more details on these binding experiments see the Supporting Information (Section 8).
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